

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney Docket No. <b>8059-1007</b>
INTERNATIONAL APPLN. NO. <b>PCT/JP2005/3686</b>	INTERNATIONAL FILING DATE <b>February 25, 2005</b>	U.S. Application No. <b>107590458</b>
TITLE OF INVENTION: NOVEL BENZOTHIAZEPINE AND BENZOTHIEPINE COMPOUNDS		
APPLICANT(S) FOR DO/EO/US: Takehiko SASAHARA; Mitsunobu MOHRI; Ken-ichi KASAHIARA		
<p>Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li> <li>10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). ))</li> <li>11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 12 to 23 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations. - <input checked="" type="checkbox"/> PCT/ISA/210 International Search Report included</li> <li>13. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li> <li>14. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li>16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard</li> <li>17. <input checked="" type="checkbox"/> Abstract</li> <li>18. <input type="checkbox"/> A substitute specification.</li> <li>19. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b)             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed Power of Attorney</li> <li>b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter.</li> </ol> </li> <li>20. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>21. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li> <li>23. <input type="checkbox"/> Other items or information: <u>Please note that the Declaration of Inventorship for purposes of the U.S.A. designation pursuant to rule 4.17 (iv) was filed in the International Phase, see the published application.</u></li> </ol>		

U.S. APPLICATION NO. <b>10/590458</b>	INTERNATIONAL APPLN. NO. PCT/JP2005/3686	ATTORNEY DOCKET NO. 8059-1007
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY
<b>PCT FEES - NATIONAL STAGE</b>		
<u>Fee Description</u>		
Basic National Stage Fee		\$300.00
Non ISA/US Search Provided      National Stage Search Fee		\$400.00
<input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4)		National Stage Examination Fee      \$200.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)		\$0.00
National Stage Application size fee each additional 50 sheets in excess of 100  Fee Code 1681/2681	<b>Additional Sheets</b> $572 - 100 = \frac{472}{50} = \underline{10} \times$	<b>Fee From Below</b> <u>\$250.00</u> \$2,500.00
CLAIMS	NUMBER FILED 3 - 3 =	RATE x \$100.00      \$0.00
Independent Claims      Fee Codes 1614 / 2614		
Total Claims      Fee Codes 1615 / 2615	40 - 20 = 20	x \$50.00      \$1,000.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616		+ \$360.00      \$0.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).Fee Code 1618		\$0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property		+ \$40.00
<b>TOTAL FEES ENCLOSED</b> =		<b>\$4,440.00</b>
		Amount to be refunded: \$
		Charged: \$
<input checked="" type="checkbox"/> A check in the amount of <b>\$4,440.00</b> to cover the above fees is attached. <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.		
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 <sup>rd</sup> Street Arlington, VA 22202 Telephone: (703) 521-2297 Facsimile: (703) 685-0573		 SIGNATURE Benoit Castel, Reg. No. 35,041 NAME, REGISTRATION NUMBER
Y&T Customer No. <b>00466</b> BC/kmo		August 24, 2006 DATE